


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PTO/SB/05 (8-96)
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NEW UTILITY PATENT APPLICATION TRANSMITTAL

(to be used for new applications only)

Attorney Docket Number

AT-2

First Named Inventor

Bruce C. Monk

Total Pages in this Submission

42

APPLICATION ELEMENTS

Notice: Checklist items mentioned under **Application Elements** section construct a new utility patent application. Please refer to MPEP Sections 506, 601, (37CFR 1.77, 1.53, 35 USC 111, 112, 113) for detailed explanation regarding completeness of an original patent application

1. ☒ Fee Transmittal Form (prescribed filing fee(s)) 1
2. Specification 31
 - ☒ Title of the Invention
 - ☒ Cross References to Related Applications (if applicable)
 - ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - ☐ Reference to Microfiche Appendix (if applicable)
 - ☒ Background of the Invention
 - ☒ Brief Summary of the Invention
 - ☒ Brief Description of the Drawings (if drawings filed)
 - ☒ Detailed Description
 - ☒ Claim or Claims
 - ☒ Abstract of the Disclosure
3. ☒ Drawing(s) (when necessary as prescribed by 35 USC 113) 3
4. ☒ Executed Declaration 1
5. Genetic Sequence Submission (if applicable, all must be included)
 - ☐ Paper Copy
 - ☐ Computer Readable Copy
 - ☐ Statement Verifying Identical Paper and Computer Readable Copy

ACCOMPANYING APPLICATION PARTS

6. ☒ Assignment Papers 1
7. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
8. ☐ Computer Program in Microfiche
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Petition Checklist and Accompanying Petition
12. ☐ Preliminary Amendment
13. ☐ Proprietary Information
14. ☒ Return Receipt Postcard 1
15. ☒ Small Entity Statement 1
16. ☒ Additional Enclosures (please identify below).

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Joseph E. Funk
25,974

Signature

Joseph E. Funk

Date

Dec. 17, 2001

FOR OFFICIAL USE ONLY

Application Number		Class		Independent Claims	
Date of Receipt	Application Type	GAU		Total Claims	
	Filing Date	Foreign Filing License?		Drawing Sheets	
	Small Entity	Foreign Address?		Special Handling?	

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL**Total Amount of Payment \$** 719**METHOD OF PAYMENT** (Check one)1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Acct. No.

Deposit Acct. Name.

☐ Charge any additional fee ☐ Charge the Issue Fee required under 37CFR 1.16 and 1.17 set in 37CFR1.311(b)2. ☒ Payment Enclosed☒ Check ☐ Money Order ☐ Other**FEE CALCULATION** (fees effective 10/1/96)**1. FILING FEE**

Large Entity		Small Entity		Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	770	201	370	Utility file fee	\$ 370
106	320	206	160	Design file fee	\$
107	530	207	265	Plant file fee	\$
108	770	208	385	Reissue file fee	\$
114	150	214	75	Prov. file fee	\$
SUBTOTAL (1)					\$ 370

2. CLAIMS

	Extra	below	Fee Paid
Total claims [31] - 20 = [11] X [9] =			\$ 99
Indep. claim [8] - 3 = [5] X [42] =			\$ 210
Multiple Dep. Claims [] X [] =			\$

Large Entity		Small Entity		Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	22	203	11	Claims in excess of 20
102	80	202	40	Ind. claims - excess of 3
104	260	204	130	Multiple dep. claims
109	80	209	40	Reissue ind. claims over original patent
110	22	210	11	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 309**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	\$
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$
147	2460	147	2460	Filing a request for re-exam	\$
112	900	112	900	Requesting publication of SIR prior to Examiner action	\$
113	1790	113	1790	Requesting publication of SIR after Examiner action	\$
115	110	215	55	Ext. for response in 1st month	\$
116	390	216	195	Ext. for response in 2nd month	\$
117	930	217	465	Ext. for response in 3rd month	\$
118	1470	218	735	Ext. for response in 4th month	\$
119	300	219	150	Notice of Appeal	\$
120	300	220	150	Filing brief supporting appeal	\$
121	260	221	130	Request for oral hearing	\$
138	1470	138	1470	Petition to institute public use proceeding	\$
140	110	240	55	Petition to Revive - Unavoidably abandoned application	\$
141	1290	241	645	Petition To Revive Unintentionally abandoned application	\$
142	1290	242	645	Utility Issue Fee (or Reissue)	\$
143	440	243	220	Design Issue Fee	\$
144	650	244	325	Front Issue Fee	\$
122	130	122	130	Petitions to Commissioner	\$
123	50	123	50	Petitions for provisional appns.	\$
126	230	126	230	Submission of Inf. Disc. Sheet	\$
581	40	581	40	Recording each patent assignment per property (X number of prop.)	\$ 40
146	770	246	385	Filing a submission after final rejection (37 CFR 1.129(a))	\$
149	770	249	385	For each added invention to be examined (37CFR1.129(b))	\$
Other Fee (specify):					\$
Other Fee (specify):					\$
Other Fee (specify):					\$
SUBTOTAL (3)					\$ 40

Complete (If Applicable)

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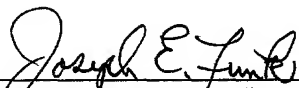
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New US Patent application for:

Title: **Document And Bearer Verification System**

Inventor: **Bruce C. Monk**

Application (31 sheets – Specification, claims & abstract)

Drawings, Informal (3 sheets)

Declaration and Power of Attorney

Assignment

Recordation Form Cover Sheet (PTO-1619A)

Verified Statement Claiming Small Entity Status (PTO/SB/10)

Fee Transmittal (PTO/SB/17)

Transmittal Form (PTO/SB/5)

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